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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/864,890	
	Filing Date	May 23, 2001	
	First Named Inventor	Terje A. Skotheim	
	Group Art Unit	1745	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	13 pages & 45 cited references	Attorney Docket Number	MT-0026.3

ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jacqueline M. Nicol Reg. No. 44973
Signature	<i>Jacqueline M. Nicol</i>
Date	August 16 2001

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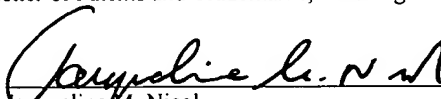


**PATENT**  
Docket No. MT-0026.3

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Date: 16 August 2001

  
Jacqueline M. Nicol

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Terje A. SKOTHEIM *et al.*

Serial No.: 09/864,890

Filing Date: May 23, 2001

For: LITHIUM ANODES FOR  
ELECTROCHEMICAL CELLS

Examiner: To be assigned

Group Art Unit: 1745

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449, three (3) pages. Copies of the forty-five (45) documents are also submitted herewith. The Examiner is requested to make these documents of record.

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Document no. 10, 12, 16, and 23, listed on the attached Form PCT-1449 were cited in a Search Report mailed 16 February 2001 (copy attached) directed to international application no. PCT/US00/32232. Document nos. 12, 16, 23, and 39 were also listed in a Search Report mailed 16 February 2001 (copy attached) directed to International Application No. PCT/US00/32234.

Applicants bring to the attention of the Examiner the following co-pending application:

- U.S. Serial No. 09/215,112, filed 17 December 1998

U.S. Serial No. 09/215,112 is the U.S. counterpart of International Publication No. WO 99/33125 cited on the attached form PTO-1449.

Applicants further bring to the attention of the Examiner the following related applications, U.S. Serial Nos. 09/721,519 and 09/721,578, both filed November 21, 2000, of the common assignee, which are the U.S. counterparts of International Publications Nos. WO 01/39303 and WO 01/39302, respectively, which are both cited on the attached form PTO-1449.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of \_\_\_\_\_ is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1163 referencing MT-0026.3. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 16, 2007

Respectfully submitted,

By: Jacqueline M. Nicol  
Jacqueline M. Nicol  
Registration No. 44,973

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